

ACH Authorization Agreement



1 Account Information

Account Title:

Account Type: Account Number:

2 Bank Account Information – PLEASE ATTACH A VOIDED CHECK

- New**
- Update** - I/We authorize Trade-PMR, Inc. through First Clearing, LLC (FCC) to update the existing ACH(s) as directed by the client(s).
- Cancel** - I/We authorize Trade-PMR, Inc. through First Clearing, LLC (FCC) to cancel the existing ACH(s) as directed by the client(s).

Name on the Bank Account:

Bank Name:	City:	State:	Zip Code:
Routing Number:	Account Number:	Type: <input type="checkbox"/> Checking <input type="checkbox"/> Savings	

3 Periodic Transfers

Deposits to Bank:

Amount:	Start Date:	Frequency: <input type="checkbox"/> One-time <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annually
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Withdrawals from Bank:

Amount:	Start Date:	Frequency: <input type="checkbox"/> One-time <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annually
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Transfer Dividends & Interest from Brokerage Account to Bank Account

Weekly Semi-Weekly Monthly Quarterly

ACH on Demand

I/we authorize Trade-PMR, Inc. through FCC to transfer funds upon client request as directed by client.

Permissible Transactions:

- Deposit to bank from brokerage
- Withdrawal from bank to brokerage *(Requires bank and brokerage accounts to have like registrations)*

4 Certification – ALL ACCOUNT OWNERS MUST SIGN

Signatures are not required if additional documentation is provided by the client(s) to update or cancel ACH transfers.
 If the registrations to the bank account and the brokerage account are not the same, signatures of all accounts holders are required before a withdrawal from the bank account can be initiated on the brokerage account.

DO NOT SIGN BELOW UNLESS YOU HAVE READ AND UNDERSTAND ALL TERMS AND CONDITIONS ON PAGE TWO OF THE DOCUMENT

Primary Account Holder Signature	Print Name	Date
Secondary Account Holder Signature	Print Name	Date
Additional Account Holder Signature	Print Name	Date
Additional Account Holder Signature	Print Name	Date

**Attach a voided check to this ACH Authorization Agreement. Starter checks will not be accepted.
Please verify that the account and routing transit numbers are correct.**

Terms and Conditions

This agreement is governed by the general terms and conditions of the brokerage account specified by you in this form. The ACH service is to be performed by us and in accordance with the rules of the National Automated Clearing House Association ("NACHA") as such rules are amended from time to time. The ACH service includes the transmission of electronic credit and/or debit entries initiated by you and processed through us from your account. This service is established solely for the convenience of the account owner and the persons signing the first page of this document understand that this service may be terminated or modified at any time without notice by First Clearing, LLC ("FCC").

The account owners release the Introducing Broker Dealer ("IBD"), FCC and their affiliates, agents and representatives from all liability and agree to indemnify the same from any and all losses, damages or costs for acting in good faith in accordance with the privilege selected herein. In no event shall the IBD, FCC or their affiliates, agents or representatives be liable for consequential damages. All terms shall be binding upon the heirs, representatives and assigns of the account owners.

The account owners release the IBD, FCC and their affiliates, agents or representatives in the event the IBD or FCC makes an error which underpays/overpays their account. The account owners authorize the IBD through FCC to debit or credit their account as necessary to correct any errors.

A fee will be charged for all DEPOSITS into brokerage accounts that reject for insufficient funds or closed account.

This authorization will continue until terminated by any account owner by written notification to the IBD on behalf of FCC. Termination will be effective as soon as FCC, upon receipt, has had reasonable time to act upon it.

Additional Information

- A. Funds will not be automatically deposited to or withdrawn from money market accounts unless a sweep agreement is on file.
- B. A new form must be completed when changing bank account, brokerage account, and/or money amount information.
- C. Starter checks will not be accepted.
- D. If an ACH frequency is a holiday or weekend, money will remit on the next business day.
- E. Withdrawals cannot be made from margin accounts.
- F. Complete one form for each account in which transfers are to be made.
- G. FCC, as custodian for an IRA account, may not authorize distributions through this form.
- H. If you wish to receive written confirmation of ACH activity, please contact your Financial Advisor.