



Required New Account Forms

Individual Accounts:

New Account Application

Joint Accounts:

New Account Application

IRA:

New Account Application
IRA Simplifier

Roth IRA:

New Account Application
Roth IRA Simplifier

Roll Over IRA:

New Account Application
IRA Simplifier

SEP IRA:

New Account Application
IRA Simplifier

Simple IRA:

New Account Application
Simple IRA Simplifier

Coverdell Educational Savings Account (Formerly: Educational IRA):

New Account Application
*Note: Include minors information and the
custodians information on the application (ie:
SS#, Date of Birth, Etc)
IRA Simplifier

Custodian Account:

New Account Application
*Note: Include minors information and the
custodians information on the application
(i.e.: SS#, Date of Birth, Etc)

Estate:

New Account Application
Estate Papers

Partnership:

New Account Application
Partnership Agreement
*Note: Include information for ALL Partners
on the application (i.e.: SS#, Personal
Address, DOB, and DL#)

Investment Club:

New Account Application
Investment Club Agreement

Association:

New Account Application
Association Agreement (formation
document)
*Note: Include information for ALL Authorized
Persons (i.e.: SS#, Personal Address, DOB,
and DL#)

Corporation:

New Account Application
Corporate Resolution (with A&B paragraphs)
*Note: Include information for ALL Authorized
Persons (i.e.: SS#, Personal Address, DOB,
and DL#)

Trust:

New Account Application
Copy of Trust
Trustee Certification
*Note: Include information for ALL Trustees
(i.e.: SS#, Personal Address, DOB, and DL#)

Other Required Forms

Account Transfers:

ACAT Form (use if transferring an account from
another brokerage firm)
Copy of most recent statement

Margin Trading:

Margin Form

Options Trading:

Options Form

IRA Distributions:

IRA Distribution Form

Foreign Accounts:

Form W-8 & 1001

Check Writing and Debit Cards:

Check Writing/Debit Card Application

Note: Per FINRA & SEC requirements, all forms and documents must be completed in their entirety and received by Trade-PMR in order to process an account.