



Account Number:  
Branch:  
Tax Id Number:  
Rep Number:

**TRUSTEE CERTIFICATION AND INDEMNIFICATION AGREEMENT**

In consideration of Sterne, Agee & Leach, Inc. opening and/or maintaining one or more accounts for the trust below, I/we, the undersigned trustee(s) certify as follows:

1. The title and type of the trust to which this certification and indemnification applies is:  
\_\_\_\_\_  
\_\_\_\_\_
2. Date of Trust Formation: \_\_\_\_\_.
3. Trust Tax ID Number: \_\_\_\_\_.
4. You (for purposes of this agreement, certification and indemnification, "you" and "your" refer to Sterne Agee, its subsidiaries and parents, introducing firms and any and all divisions or other entities, their officers, directors, agents and/or employees) , by virtue of this trustee certification and indemnification agreement, may accept any instructions or requests relative to the trust account(s) from those individuals and/or entities listed below ("trustee(s)"). The trustee(s) may execute any documents on behalf of the trust. **There are no trustees of the trust other than the undersigned.**
5. I/We certify that the trust provides:  
(check only one box)  
  
 **All** of those listed below must act on behalf of the trust.  
 **Any** of those listed below may act individually on behalf of the trust.
6. Names of **all** trustees(s):  
(Please Print)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
7. Trustee(s) Powers : I/We certify that I/we have contacted an attorney and have been advised that I/we have the power under the trust agreement and any applicable State and Federal law to invest in the following types of securities without limitations unless otherwise indicated:  
(Check all that apply)  
  
 Equity Securities  
 Corporate Debt  
 Fixed Income Securities (municipal, government and agency, preferred stock)  
 Derivatives (options, warrants, futures, etc.)  
 Investment Company Securities  
 Purchase or redeem shares of the money market funds as described in the prospectus  
 other \_\_\_\_\_.
8. Limitations:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(the following require a separate margin and/or options agreement and may not be used for testamentary trusts or irrevocable, unamendable, non-testamentary trusts unless the grantor is an active trustee)

- Establishing a margin account and borrowing on fully paid securities
  - Purchasing securities on margin
  - Short sales of securities
  - Engage in options transactions using these strategies:  
(Circle all that apply)
- |                                      |     |    |
|--------------------------------------|-----|----|
| Speculative trading in puts or calls | yes | no |
| Purchase of puts and calls           | yes | no |
| Covered Call Writing                 | yes | no |
| Spreading                            | yes | no |
| Protective Put Buying                | yes | no |
| Covered Put Writing                  | yes | no |
| Uncovered Put or Call Writing        | yes | no |

9. The trust and its trustee(s) will indemnify and hold harmless Sterne, Agee & Leach, Inc., its subsidiaries and affiliates, each person who controls Sterne Agee and/or its affiliates, the officers, directors, agents and/or employees of Sterne Agee and of its affiliates, introducing firms and any and all divisions or other entities, their officers, directors, agents, and/or employees to the fullest extent permitted by law from and against any and all claims, actions, proceedings, demands, liabilities, damages, judgments, assessments, losses and costs, including fees and expenses, in any way related to, arising out of or based upon any action taken by any party on behalf of the Trust and/or its Trustees and/or its beneficiaries named in this certification and indemnification agreement, and will reimburse the indemnitees for all such fees and expenses including but not limited to the fees and expenses as they are incurred by each indemnitee in connection with pending or threatened litigation whether or not such indemnitee is a party.
10. The undersigned will provide Sterne Agee with any requested documents relating to the trust.
11. Sterne Agee may rely upon this certification and indemnification as continuing in full effect unless and until it receives a properly executed new certification and indemnification agreement.

**ALL TRUSTEES MUST SIGN**

(Should only one person execute this agreement it shall be representation and certification that the signer is the sole trustee)

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**INTRODUCING BROKER DEALER**

Introducing Broker Dealer hereby agrees to obtain, store and retrieve all documents concerning this trust account and make documents available, upon request, to SAL Financial Services, Inc.

Signature \_\_\_\_\_ Date \_\_\_\_\_  
(Registered Principal)