

529 Account Supplement

Advisor Firm: Advisor Code:

To open a 529 Plan Account, the 529 Supplement is required to name the beneficiary and successor owner, if applicable. You will need information about the Beneficiary and Successor Owner, such as Social Security numbers, dates of birth and countries of residence. The Beneficiary is the person on whose behalf the account is opened and who is entitled to receive its benefits.

Investors should carefully consider investment objectives, risks, charges and expenses. This and other important information is contained in the fund prospectus and 529 program description which can be obtained from your financial professional.

1 Registration Type

Account Owner Type *(Select only one):*

- Individual
- Joint Account (Not available for all 529 plans)
- Trust
- UTMA/UGMA Account: Enter the names of the adult custodian and the child on the "Name" Line of the New Account application (for example, "John Does, Custodian for Robert Doe"). 529 Plan Accounts funded with UTMA/UGMA assets involve additional restrictions, and may have tax consequences.
- Other entity – please specify:

If the account being established is for a corporation, partnership or other type of organization, include a government issued document to evidence the existence of the entity, such as certified articles of incorporation or business license.

529 Type *(Select only one):*

- Omnibus (American Funds/John Hancock)
- Held at Fund (Other)

Account Owner *(Select only one):*

- Donor
- Parent

2 Beneficiary Information

The person on whose behalf the account is opened and who is entitled to receive its benefits for the purpose of qualified education expenses. If UTMA/UGMA funded, provide the name of the Beneficiary of the UTMA/UGMA. All fields are required.

First Name: Middle Name/Initial: Last Name:

Social Security Number: Date of Birth:

Legal Address *(No PO Boxes)*:

City/State/ZIP:

Relationship to Parent/Donor, Custodian or Trustees:

3 Successor Owner or Successor Custodian Designation

The **Successor Owner** must be a U.S. citizen or legal U.S. resident and becomes the Owner of the account in the event the Account Owner dies or becomes legally incompetent. The Successor Owner cannot be the same person as the Account Owner. A Successor Owner cannot be named for an account funded with UTMA/UGMA assets.

A **Successor Custodian** can only be designated if allowed in the state where the UTMA/UGMA is established (for accounts funded with UTMA/UGMA assets only). All fields are required.

First Name: Middle Name/Initial: Last Name:
Social Security Number: Date of Birth:
Legal Address (No PO Boxes):
City/State/ZIP:
Relationship to Beneficiary/Minor:

4 Investment Instructions

State Plan Provider and/or Plan Sponsor Name:

5 Signature of 529 Plan Account Owner

I acknowledge having received and read the product prospectus.

Donor/Parent, Custodian/Trustee Signature	Print Name	Date