



# Letter of Authorization to request Check or Journal Transfer

TradePMR

This form may be used for non-qualified accounts and qualified account journal contributions only. For all other check or journal qualified account distributions, please use the "Distribution Request from an IRA" form or "Distribution for Qualified Plans" form.

## 1 Advisor Information

Advisor (or RIA Firm Name)

Advisor Code

## 2 Account Information

Account Number

Account Type

Account Title

## 3 Transfer Instructions

Purpose for Transfer (required for UTMA / UGMA / Third-Party):

## 4 Check Transfers

### Transfer Type

One Time Check

Deposit to Other Financial Institution from TradePMR and transfer \$  immediately.

New  Cancel

**On-Demand Check (Standing Instructions)**

On-Demand Check allows you to transfer funds via check from your TradePMR account to an Outside Financial Institution account upon request.

Standing Instruction: Transfer amount not to exceed this dollar value: \$

(Transfer at client's discretion with the amount not to exceed the above specified threshold)

New  Cancel  Update

**Periodic Check Deposits to Other Financial Institution**

Cash Amount:

Start Date (required):

End Date:

Frequency (required):

- One-time     Daily     Weekly     Bi-Weekly  
 Semi-Monthly     Monthly     Bi-Monthly     Quarterly  
 Semi-Annually     Annually     Last Business Day of Month

Variable Transfers:  
(Choose all that apply)

- Dividends     Interest     Capital Gains Dist     Partnership Dist  
 Principal     Return of Capital     Return of Principal     Dividends from Securities held outside of firm  
 Maturities     Liquidation     Free Credit Balance     MM Fund Balance

### Delivery Instructions (Select only one):

**Mail Check – Third Party OR Special Instructions (Client may be called to verify.)**

- Standard Mail  
 Overnight Mail (A fee may apply)

Payee/Recipient

Account Number (Optional)

Payee/Registrant's Address (include City, State, Zip Code and Country)

Optional Memo

**Mail Check – Address of Record**

- Standard Mail  
 Overnight Mail (A fee may apply)



## Continued Journal Transfers

<input type="checkbox"/> New		<input type="checkbox"/> Cancel		<input type="checkbox"/> Update		<b>Periodic Journal Transfers to Another TradePMR account (<i>securities not allowed</i>)</b>			
Cash Amount:	Start Date <i>(required)</i> :	End Date:	Frequency <i>(required)</i> :						
			<input type="checkbox"/> One-time	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Bi-Weekly			
			<input type="checkbox"/> Semi-Monthly	<input type="checkbox"/> Monthly	<input type="checkbox"/> Bi-Monthly	<input type="checkbox"/> Quarterly			
			<input type="checkbox"/> Semi-Annually	<input type="checkbox"/> Annually	<input type="checkbox"/> Last Business Day of Month				
Variable Transfers: <i>(Choose all that apply)</i>	<input type="checkbox"/> Dividends	<input type="checkbox"/> Interest	<input type="checkbox"/> Capital Gains Dist		<input type="checkbox"/> Partnership Dist				
	<input type="checkbox"/> Principal	<input type="checkbox"/> Return of Capital	<input type="checkbox"/> Return of Principal		<input type="checkbox"/> Dividends from Securities held outside of firm				
	<input type="checkbox"/> Maturities	<input type="checkbox"/> Liquidation	<input type="checkbox"/> Free Credit Balance		<input type="checkbox"/> MM Fund Balance				

## 6 Certification

In consideration for Trade-PMR, Inc. ("TradePMR") accepting the instructions provided on this document, Account Holder(s) release and discharge both TradePMR and its clearing firm, Wells Fargo Clearing Services, LLC\* ("First Clearing"), and their respective affiliates from any liability or claims in connection with these instructions and agree to indemnify and hold TradePMR and First Clearing harmless against any losses from any action, claim, or demand of any person based upon either TradePMR or First Clearing acting upon these instructions.

For transfers to third-party accounts in which Account Holder(s) have no ownership interest, Account Holder(s) agree that, by signing this document, Account Holder(s) is irrevocably relinquishing all rights, title, and interest to the assets contemplated to be transferred via the instructions provided on this document. Account Holder(s) acknowledges that it is Account Holder's responsibility to verify the accuracy and the legitimacy of the source of instructions provided by third-parties, such as but not limited to, title companies, attorneys, accountants and business associates, for transfers from Account Holder's account.

Account Holder(s) acknowledge having received, read and understand the terms of this request to establish a standing letter of instruction to transfer Account Holder's assets and further acknowledges that the terms of this request are binding, regardless of any other agreement(s) between Account Holder and the recipient(s) of these assets.

Account Holder(s) acknowledges that any required notifications confirming these instructions will be provided by TradePMR. Account Holder(s) acknowledge that this document authorizes the Account Holder's Advisor, as listed below, to direct transfers to third-parties, either on the schedule specified on this form or from time to time. Account Holder(s) has the authority to terminate or change these instructions at any time.

**Do not sign below unless you have read and understand all terms and conditions on this form.**

Account Holder Signature	Name <i>(Please Print)</i>	Date
Additional Account Holder Signature	Name <i>(Please Print)</i>	Date

\*Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.