

Convert Account Title to Trust

Account Identification

Trust information should match what is listed on form "Trustee Certification of Investment Powers" (TCIP).

Introducing Firm and its Clearing Agent are instructed to change the title of the individual/joint account number on their books and records to a revocable trust account under the same account number titled as follows:

Individual/Joint Account Number:	Individual/Joint Account Title:
Revocable Trust Title:	Under Agreement Date: (mm/dd/yyyy)
Trustee(s):	

Account Nature/Purpose (Please select only one)

- | | | |
|---|--|---|
| <input type="checkbox"/> Investment (INVST) | <input type="checkbox"/> Trust Management (TRUST) | <input type="checkbox"/> Employee Retirement (EMPRT) |
| <input type="checkbox"/> Children's Savings (CHLDS) | <input type="checkbox"/> Estate Management (ESTMG) | <input type="checkbox"/> Personal Liquid Savings (PRSAV) |
| <input type="checkbox"/> Retirement (RTRMT) | <input type="checkbox"/> Business Management (BUSMG) | <input type="checkbox"/> Collateral for Loan/Line of Credit (COLLL) |

ARBITRATION

Arbitration Disclosures:

This Agreement contains a pre-dispute arbitration clause. By signing an arbitration agreement, the parties agree as follows:

- All of the parties to this Agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which the claim is filed.
- Arbitration awards are generally final and binding; a party's ability to reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators typically will include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this Agreement.

No person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until:

- (i) the class certification is denied; or
- (ii) the class is decertified; or
- (iii) the client is excluded from the class by the court.

Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this Agreement except to the extent stated herein.

Arbitration Provision:

It is agreed that all controversies or disputes which may arise between you and Introducing Firm, Clearing Agent and any Sub-Advisor (and/or any other agent) (collectively, "us") concerning any transaction or the construction, performance, or breach of this Agreement or any other agreement between us, whether entered into prior to, on, or subsequent to the date of this Agreement, including any controversy concerning whether an issue is arbitrable, shall be determined by arbitration conducted before, and only before, an arbitration panel set up by the Financial Industry Regulatory Authority ("FINRA") in accordance with its arbitration procedures. Any of us may initiate arbitration by filing a written claim with FINRA. Any arbitration under this Agreement will be conducted pursuant to the Federal Arbitration Act and the Laws of the State of New York. The state or federal statute of limitations, statute of repose, non-claim statute, or any other time bar that would be applicable to any claim filed in a court of competent jurisdiction shall be applicable to any claim filed in arbitration.

Client Signature and Acknowledgement

The undersigned Individual/Joint Account Owner(s) represents that I/we have full power and authority to provide this instruction to change the title of the account to a Trust Account, and the undersigned Trustee(s) accepts this account, including any debit balances, as retitled and agrees that the Trust Account will continue to be bound by all agreements and documents currently governing the account, copies of which the Trustee(s) hereby acknowledge prior receipt. Notwithstanding the foregoing, any Powers of Attorney or trading authorization, (with the exception of any Powers of Attorney or trading authorization contained in Advisory Program Account Documentation), that may be applicable to the Individual/Joint Account no longer applies to the Trust Account.

The Individual/Joint Account Owner(s) agree to the release of any information, including confidential or personal information, regarding the Account to the Trustee(s). The Trustee(s) hereby affirms that, except for the change in the title of the account, all information previously furnished, including the tax identification or social security numbers and investment objectives and risk tolerance, continue to be applicable to the Trust Account. The Trustee will immediately advise the Introducing Firm if any change to this information occurs.

In the event any term/clause of this Instruction conflicts with any like term/clause contained in any agreement or document currently governing this account, the term/clause of this Instruction shall control.

All of the undersigned, jointly and severally indemnify and hold the Introducing Firm, its officers, directors, employees and agents, including Clearing Agent, harmless against any and all claims, liabilities and expenses arising out of your following this instruction to change the title of the Individual/Joint Account to a Trust Account.

The undersigned Trustee(s) certify that they are all of the Trustee(s) of the trust.

The Individual/Joint Account Owner(s) and Trustee(s) agree to sign or provide additional information as the Introducing Firm may require, including but not limited to, the Trustee Certification of Investment Powers Form and Associated Person Information Form which are required forms in order to process this Instruction.

The Individual/Joint Account Owner(s) hereby revoke any Transfer on Death (TOD) Agreement currently applied to this account. This shall serve as proper revocation per the terms of the TOD agreement.

The Individual/Joint Account Owner(s) and Trustee(s) agree that assets registered in customer name will require additional documentation to be converted to trust name and are not subject to this agreement. The Individual/Joint Account Owner(s) and Trustee(s) agree to sign or provide additional documentation necessary to process the instruction to re-title those positions to trust titling.

THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION CLAUSE LOCATED ON PAGE 1, UNDER THE HEADER "ARBITRATION." THE UNDERSIGNED HEREBY ACKNOWLEDGES RECEIPT OF A COPY OF THIS AGREEMENT.

Individual/Joint Account Owner(s)

Account Owner Signature 1	Print Name 1	Date (mm/dd/yyyy)
Account Owner Signature 2	Print Name 2	Date (mm/dd/yyyy)
Trustee Owner Signature 1	Print Name 1	Date (mm/dd/yyyy)
Trustee Owner Signature 2	Print Name 2	Date (mm/dd/yyyy)

Office Use Only: Financial Advisor and Supervisory Signatures

Financial Advisor's Signature	Financial Advisor's Name	Date (mm/dd/yyyy)
Qualified Supervisor's Signature	Qualified Supervisor's Name	Date (mm/dd/yyyy)