

## FACTS

### WHAT DOES TRADE-PMR, INC. DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number, Account Number(s), and Income
- Account transactions and assets
- Account balances and transaction history
- Address of record and email
- Investment experience and risk tolerance

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Trade-PMR, Inc. chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Trade-PMR, Inc. share? | Can you limit this sharing?               |
|--|-----------------------------|---|
| <b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                         | No  |
| <b>For our marketing purposes—</b> to offer our products and services to you   | Yes                         | Yes<br>(See "To limit our sharing" below) |
| <b>For joint marketing with other financial companies</b>  | No                          | We don't share                            |
| <b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences   | Yes                         | No  |
| <b>For our affiliates' everyday business purposes—</b> information about your creditworthiness   | Yes                         | Yes<br>(See "To limit our sharing" below) |
| <b>For our affiliates to market to you</b>   | Yes                         | Yes<br>(See "To limit our sharing" below) |
| <b>For nonaffiliates to market to you</b>  | No                          | N/A                                       |

#### To limit our sharing

Trade-PMR, Inc. does not share your personal data with anyone for the purposes of marketing. Trade-PMR, Inc. does share your data with other companies, including your investment adviser firm and others as directed by your financial adviser, to service and maintain your account with us. To limit our sharing please:

- **Call 352-332-8723 and ask for Compliance.**
- **Email us at [compliance@tradepmr.com](mailto:compliance@tradepmr.com).**

#### Questions?

Call 352-332-8723 and ask for Compliance or go to [www.tradepmr.com](http://www.tradepmr.com)

## Who we are

### Who is providing this notice?

This form is provided by Trade-PMR, Inc., a wholly owned subsidiary of Robinhood Markets, Inc.

## What we do

### How does Trade-PMR, Inc. protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

When you access our Account holder areas, you are required to provide your username and your password. Do not share your password and change it frequently.

### How does Trade-PMR, Inc. collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit funds
- apply for margin
- receive a distribution

We also collect your personal information from other companies, such as when you transfer an account in or out of Trade-PMR, Inc.

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

### What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account.

## Definitions

### Affiliates

Companies related by common ownership or control.

- *Our affiliates include financial companies such as broker-dealers and investment advisers, and nonfinancial companies such as technology and service providers that are related to us by common ownership.*

### Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Nonaffiliates we share with can include service providers such as data processors. TradePMR does not share with nonaffiliates so they can market to you.*

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

## Other important information

Please see our [privacy statement](#) for additional information on how we comply with privacy laws. Please submit all privacy-related questions to [compliance@tradepmr.com](mailto:compliance@tradepmr.com).