

# Letter of Authorization to Transfer Funds or Securities



## 1 Advisor Information

Advisor (RIA Firm Name)

Advisor Code

## 2 Account Information

Account Number

Account Title and Type

## 3 Transfer Instructions

Purpose for Transfer:

Funds Disbursement(s):

<b>One-Time Disbursement:</b> <i>(Any future requests will require a new form)</i>	
<input type="checkbox"/> Partial	\$ <input style="width: 150px;" type="text"/>
<b>OR</b> <input type="checkbox"/> All Cash and Money Market	
<b>OR</b> <input type="checkbox"/> All Funds and Securities	
<b>OR</b> <input type="checkbox"/> All Securities	
<b>Standing Instructions:</b> <input type="checkbox"/> New <input type="checkbox"/> Cancel	
<input type="checkbox"/> First-Party	
<b>OR</b> <input type="checkbox"/> Third-Party: Threshold Dollar Value	\$ <input style="width: 150px;" type="text"/> <i>(List securities below if applicable)</i>
<i>(RIA must accept custody in order to establish standing instructions for third-party transactions. Transfers at the client's discretion with the amount not to exceed a specific threshold)</i>	

Security Disbursement(s):

*If needed, attach an additional signed Position Listings on a separate sheet.*

Number of Shares/Contracts (or for standing instructions, list not to exceed quantity)	Security Description	Symbol or CUSIP	Cost Basis Instructions <i>(OPTIONAL)</i>	For standing instructions, provide maximum market value based on prior business day's closing value

## 4 Distribution Instructions

Send to another Financial Firm	
Account Title:	Account Number:
Firm Name:	DTC #:
Memo (optional):	

## 5 Certification

**ALL ACCOUNT OWNERS OR PERSONS SIGNING ON BEHALF OF ACCOUNT OWNERS HEREBY AGREE TO THE FOLLOWING TERMS:**

In consideration for Trade-PMR, Inc. ("TradePMR") accepting the instructions provided on this document, Account Holder(s) release and discharge both TradePMR and its clearing firm, Wells Fargo Clearing Services, LLC ("First Clearing"), and their respective affiliates from any liability or claims in connection with these instructions and agree to indemnify and hold TradePMR and First Clearing harmless against any losses from any action, claim, or demand of any person based upon either TradePMR or First Clearing acting upon these instructions.

For transfers to third-party accounts in which Account Holder(s) have no ownership interest, Account Holder(s) agree that, by signing this document, Account Holder(s) is irrevocably relinquishing all rights, title, and interest to the assets contemplated to be transferred via the instructions provided on this document. Account Holder(s) acknowledges that it is Account Holder's responsibility to verify the accuracy and the legitimacy of the source of instructions provided by third-parties, such as but not limited to, title companies, attorneys, accountants and business associates, for transfers from Account Holder's account.

Account Holder(s) acknowledge having received, read and understand the terms of this request to establish a standing letter of instruction to transfer Account Holder's assets and further acknowledges that the terms of this request are binding, regardless of any other agreement(s) between Account Holder and the recipient(s) of these assets.

Account Holder(s) acknowledges that any required notifications confirming these instructions will be provided by TradePMR. Account Holder(s) acknowledge that this document authorizes the Account Holder's Advisor, as listed below, to direct transfers to third-parties, either on the schedule specified on this form or from time to time. Account Holder(s) has the authority to terminate or change these instructions at any time.

**Do not sign below unless you have read and understand all terms and conditions on this form.**

Account Holder Signature <b>X</b>	Name <i>(Please Print)</i>	Date
Additional Account Holder Signature <b>X</b>	Name <i>(Please Print)</i>	Date

\*Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.