## **Client Identification / Signature Validation**

Sub Firm #	BR Code	FA Code	Account Number
(Office Use C	Dnly)		

This form is used to validate the identity and signature of a client, prior to submission of the documents and is only valid for the documents listed below. List all documents to be validated in the "Documents Validated" section. The documents listed will have a Medallion Stamp or SVP Stamp affixed to the document prior to processing. A copy of this form, should accompany each document that requires a Medallion Stamp or SVP Stamp.

Client Name
Account Title
Transaction Type
I Mutual Funds
Alternative Investments
IRA
Physical Security
Other\_\_\_\_\_

Documents Validated					
Form Name/Certificate Number	Company Name/Name of Stock	Date			

Office Use Only - Client Identification/Signature Validation						
(Check one of the four Validation Options)		4. Verbally confirmed two of the following (when client is not present):				
<ol> <li>Client Personally Known to Me</li> <li>Validated Government-Issued Photo ID (when client is present).</li> <li>Compared signature to imaged documents.</li> </ol>		Social Security Number or Tax Identification Number				
		Date of Birth				
		Home Telephone Number				
		Recent Account Activity				
I certify that I validated the client's identity and/or signature via the method indicated above. I further certify that the client has the authority						
to execute the transaction.						
Name (Print)	Signature		Date			
	x					