

# Letter of Authorization to Transfer Funds or Securities



## 1 Account Information

Account Number

Account Title and Type

## 2 Type of Transfer

Purpose for Transfer (required for UTMA / UGMA / Third-Party):

**One-Time Disbursement:** Any future requests will require a new form.

**OR**

**Recurring Instructions:** Requests for periodic transfers of a specific amount or transaction type.

Start Date:

Frequency (weekly, monthly, etc.):

Expiration Date or transfers will continue until you revoke:

## 3 Assets Transferred

**Funds Disbursement(s):**

One-Time Amount: \$

**OR**  All cash and money market

**OR**  All Funds and Securities **AND**  Transfer Residual Cash Balances for 90 Days\*

*\*If selected, Cash Residual Transfer would only apply to Full Account Journals*

Recurring Amount: \$

**OR** Transaction (dividends/interest, sale proceeds, etc.):

**Security Disbursement(s):**

All Securities

**OR**

Listed below. *If needed, attach an additional signed Position Listings on a separate sheet.*

Number of Shares	Security Description	Symbol or CUSIP	Cost Basis Instructions (OPTIONAL)

## 4 Distribution Instructions

Delivery of shares is the only option available to IRA accounts using this form. The IRA Distribution Request form is also required.

**Deliver Shares or Funds** (Select only one):

- Journal to another TradePMR Account

Account Title	Account Number
<input type="text"/>	<input type="text"/>

- Send to another Financial Firm

Account Title	Account Number
<input type="text"/>	<input type="text"/>
Firm Name	DTC #
<input type="text"/>	<input type="text"/>

- Mail Check – Address of Record

- Mail Check – Third Party *OR* Special Instructions (A fee may apply. Client may be called to verify.)

Payee/Recipient	Account Number (Optional)
<input type="text"/>	<input type="text"/>
Payee/Registrant's Address (include City, State, Zip Code and Country)	
<input type="text"/>	
Optional Memo	
<input type="text"/>	

## 5 Client Signatures

**ALL ACCOUNT OWNERS OR PERSONS SIGNING ON BEHALF OF ACCOUNT OWNERS HEREBY AGREE TO THE FOLLOWING TERMS:**

In consideration of Trade-PMR, Inc. accepting the instructions on this document, I release and discharge Trade-PMR, Inc. and its clearing firm, First Clearing\*, and their respective affiliates from any liability or claims in connection with these instructions and agree to indemnify and hold Trade-PMR, Inc. and First Clearing harmless against any losses from any action, claim, or demand of any person based upon Trade-PMR, Inc. and/or First Clearing acting under these instructions.

For transfers to third party accounts in which you have no ownership interest, you agree that by signing this document that you are irrevocably relinquishing all rights, title, and interest to the asset(s) listed on this document. It is your responsibility to verify the accuracy and the legitimacy of the source of instructions provided by third parties, such as title companies, attorneys, accountants, and business associates, for transfers from your account.

I acknowledge that I have read and understand the terms of this request to transfer assets and further acknowledge that the terms of this request are binding regardless of any other agreement(s) between me and the recipient(s) of these assets.

**Do not sign below unless you have read and understand all terms and conditions.**

Account Holder Signature	Name (Please Print)	Date
<b>X</b>		
Additional Account Holder Signature	Name (Please Print)	Date
<b>X</b>		

\*Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.