

Outside Investment Indemnification Letter for IRA

Wells Fargo Clearing Services, LLC
 Subject: Request for Specific Outside Investment for IRA # _____

To Whom It May Concern:

I hereby request that Wells Fargo Clearing Services, LLC review the following request to accept or purchase the outside investment referenced below in the IRA referenced above. I understand that if the position request is processed, the account will be subject to ongoing annual fee disclosed in the annual account fee schedule. This fee will be in addition to the annual IRA fee. In connection with this request, I acknowledge the following:

- I understand and accept the risks associated with the security subject to this request.
- The IRA Custodian did not solicit the investment in the security and has and will perform no due diligence on, or independent review of, the security.
- This request will be reviewed by the IRA Custodian to determine whether the request can be accommodated.
- The IRA Custodian reserves the right to deny this request at its discretion.
- If accepted, the security subject to this request will not be held or custodied by the IRA Custodian. Wells Fargo Clearing Services, LLC will act as IRA custodian for the account according to the terms of the WFCS IRA Disclosure Statement and Custodial Agreement.
- If accepted, the position and any valuation thereof will be reflected on my brokerage statement for informational purposes only, and reflect information supplied to us by the issuer or a third party.
- If the IRA Custodian is unable to adequately verify my holdings in or valuation of the position, the IRA Custodian may resign as custodian of my IRA. There may be adverse tax consequences if I am unable to identify a successor custodian.

Complete if Transfer:

Please accept _____ shares/units or face value of _____ (describe security) into my above referenced IRA account. This investment was previously held by another IRA Custodian on my behalf.

Complete if Purchase:

Upon approval, please disburse \$ _____ from my above referenced IRA to purchase _____ shares/units or face value of _____ (describe security).

from: _____

(Dealer or corporation name and address for settlement purposes)

In addition to having acknowledged the foregoing, I make the following representations and warranties to the IRA Custodian regarding this request:

- | | Applicable | Not |
|--|--------------------------|--------------------------|
| • I am NOT an Officer or Director nor am I a 10% or more shareholder. | <input type="checkbox"/> | <input type="checkbox"/> |
| • These securities are legally permissible and suitable for placement in an IRA | <input type="checkbox"/> | <input type="checkbox"/> |
| • These securities are registered or exempt from registration under Federal Securities Laws | <input type="checkbox"/> | <input type="checkbox"/> |
| • These securities are registered or exempt from registration under State Securities Laws (Blue Sky) of the state of formation of the IRA, as well as my state of residence and the state of domicile of the issuer | <input type="checkbox"/> | <input type="checkbox"/> |
| • Including the shares/units requested above, I own less than 50% of the outstanding shares/units of this investment .. | <input type="checkbox"/> | <input type="checkbox"/> |
| • This investment is not subject to UBTI (Unrelated Business Taxable Income, applicable to Limited Partnership only) | <input type="checkbox"/> | <input type="checkbox"/> |
| • The total value of outside assets held in my IRA account, including this purchase, will not exceed 60% of my IRA balance (Not applicable to initial public offerings of bank stock that is soon to be publicly traded). | <input type="checkbox"/> | <input type="checkbox"/> |
| • The value of my IRA account is \$100,000 or more (Not applicable to initial public offerings of bank stock that is soon to be publicly traded)..... | <input type="checkbox"/> | <input type="checkbox"/> |

I, the client named below, understand and accept any risks related to this investment and, in addition to any other undertakings I may already have, agree to forever defend and hold the IRA Custodian, its affiliates, and its directors, officers, employees and agents harmless against any claims, losses, damages, penalties (including, without limitation, any penalties or fines imposed by the Internal Revenue Service), or expenses (including, without limitation, legal expenses) which may arise. This transaction was not solicited by the IRA Custodian and I agree and acknowledge that the IRA Custodian has and will perform no due diligence on, or independent review of, the above referenced investment.

Client Signature X	IRA Department Approval	
Client Name	Financial Advisor Signature	
Date	Compliance Officer Signature	Date

For more information about your IRA Custodian and the terms and conditions of your IRA account, please carefully review the WFCS IRA Disclosure Statement and Custodial Agreement.

Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.