

New Account Application - Individual/Joint/Custodian					
Account Type	Available in Fusion?	Additional Documentation Required	Additional Information	Margin	Options
Individual	Yes	Trusted Contact Person Authorization form	Only one account holder is allowed. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.	During account opening, Margin will be established unless declined in Section 7. Margin can be added after account opening using the Margin Agreement form for Individual, Joint or Fiduciary accounts. Margin cannot be established with a Conservative Risk Tolerance.	To establish Options Trading, the Account Holder(s) must complete and sign the Options Agreement form.
Joint Tenants with Rights of Survivorship	Yes	Trusted Contact Person Authorization form	Two account holders are required. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Tenants in Common	Yes	Joint Account as Tenants in Common form and Trusted Contact Person Authorization form	Two account holders are required. Please see Section 6 of application. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Tenants by Entirety	Yes	Trusted Contact Person Authorization form	Two account holders are required. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Joint Tenants - Community Property	Yes	Trusted Contact Person Authorization form	For use in Community Property States only. Two account holders are required. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Guardian/Conservatorship	Yes. DocuSign not available.	Court appointment of Guardian, dated within 60 days, and Trusted Contact Person Authorization form	For individuals who have a court appointed guardian, conservator or committee. The account owner is the Primary Account Holder. The Guardian signs and is the Secondary Account Holder. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.	Custodian accounts are ineligible for Margin.	
Custodian - UTMA/UGMA	Yes	Appointment of Successor Custodian and Trusted Contact Person Authorization form	Two account holders are required. The minor is the Primary Account Holder. Please list the state where the minor resides in Section 1. If the minor is over the age of 18, their Government ID must be entered in Section 3. The Custodian is the Secondary Account Holder. It is recommended that the client(s) photo ID is uploaded at account opening for our Customer Identification Program.		
529 Plan	No	529 Account Supplement and Trusted Contact Person Authorization form	Individual and Custodial 529 Plans can be opened. Shelf documents must be filled out. Omnibus plans can be held with American Funds or John Hancock. Plans held at a fund company can be held if TradePMR has a selling agreement on file with the plan company. The Primary Account Holder is the parent or donor of the beneficiary. The beneficiary information must be collected on the 529 Account Supplement form. It is suggested that a successor owner be named on all 529 accounts using the 529 Account Supplement form. A successor owner is required for Custodial 529 accounts. It is recommended that the client(s) photo ID is uploaded at account opening for our Customer Identification Program.	529 accounts are ineligible for Margin.	

New Account Application - Retirement/Qualified					
Account Type	Available in Fusion?	Additional Documentation Required	Additional Information	Margin	Options
Traditional/Rollover IRA	Yes	IRA Beneficiary Designation and Trusted Contact Person Authorization form	Only one account holder is allowed. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.	IRAs and Qualified accounts are ineligible for Margin.	To establish Options Trading, the Account Holder must complete and sign the Options Agreement form.
Roth IRA	Yes	IRA Beneficiary Designation and Trusted Contact Person Authorization form	Only one account holder is allowed. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Simple/SEP IRA	Yes	IRA Beneficiary Designation and Trusted Contact Person Authorization form	Only one account holder is allowed. The client must include their Employer's address in Section 3. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Custodian IRA	Yes	IRA Beneficiary Designation, Parent Indemnification Form, and Trusted Contact Person Authorization form	Two account holders are required. The minor is the Primary Account Holder. The Custodian is the Secondary Account Holder. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Beneficiary IRA	Yes	IRA Beneficiary Designation, Death Certificate (copy), and Trusted Contact Person Authorization form	Complete Section 6 of the New Account Application. Only one account holder is allowed. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
Coverdell Educational Savings	Yes	IRA Beneficiary Designation and Trusted Contact Person Authorization form	Two account holders are required. The minor is the Primary Account Holder. The Custodian signs is the Secondary Account Holder. Complete Section 3 of the IRA Beneficiary Designation form, instead of Section 2. Two Account Holders are required. It is recommended that the client's photo ID is uploaded at account opening for our Customer Identification Program.		
New Account Application - Business/Estate/Trust					
Account Type	Available in Fusion?	Additional Documentation Required	Additional Information	Margin	Options
Business (LLC)	Yes	Limited Liability Company Authorization, Certification of Beneficial Owner(s) form, and Trusted Contact Person Authorization form	The Business is the Entity. The authorized signers are the Primary Authorized Person, Secondary Authorized Person, etc. Please note, we are required to collect the information for any Legal owners, who are not signers on the account, but have 25% or greater ownership in the business. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.	The Account Holder(s) must complete and sign the Margin Agreement. The Margin Agreement for Individual, Joint or Fiduciary accounts should be	
Business (Partnership)	Yes	Partnership Account Agreement, Certification of Beneficial Owner(s) form, and Trusted Contact Person Authorization form			
Business (C-Corporation)	Yes	Corporate Account - Security Cash Accounts Only Agreement, Certification of Beneficial Owner(s), and Trusted Contact Person Authorization form	Other documents may be requested to verify the Business name, entity type, address and the association of the individuals on the account. It is recommended that those documents be supplied for our Customer Identification Program.		

Business (S-Corporation)	Yes	Corporate Account - Security Cash Accounts Only Agreement, Certification of Beneficial Owner(s), and Trusted Contact Person Authorization form		used for all LLC and Non-Profit Organization accounts. The Marin Agreement for Corporate Accounts should be used for all C-Corp, S-Corp and Non-Profit Corporations.	To establish Options Trading, the Account Holder(s) must complete and sign the Options Agreement form.
Non-Profit	Yes	For Non-Profit Corporations, select the Corporate Account - Security Cash Account Only Agreement. For Non-Profit Organizations, the Non-Corporate Agreement is required. Both Non-Profit Corporations and Non-Profit Organizations must complete a Certification of Beneficial Owner(s) form and Trusted Contact Authorization form.			
Trust (Inter-Vivos/Under Agreement)	Yes	Trustee Certification of Investment Powers, Grantor Supplement (if applicable), and Trusted Contact Person Authorization form. The Trustee Certification must be notarized in some states. In those states, DocuSign will not be available.	The Trust is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s). Provide the Date of Formation in Section 1. The successor trustee(s) names are required on the Trustee Certification of Investment Powers. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.	The Account Holder(s) must complete and sign the Margin Agreement for Individual, Joint or Fiduciary accounts.	
Trust (Testamentary/Under Will)	Yes	Trustee Certification of Investment Powers and Trusted Contact Person Authorization form. The Trustee Certification must be notarized in some states. In those states, DocuSign will not be available.	The Trust is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s). It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.		
529 Trust	No	529 Account Supplement, Trustee Certification of Investment Powers, Grantor Supplement (if applicable), and Trusted Contact Person Authorization form. The Trustee Certification must be notarized in some states.	Shelf documents must be filled out. Omnibus plans can be held with American Funds or John Hancock. Plans held at a fund company can be held if TradePMR has a selling agreement on file with the plan company. The Trustee(s) is named in the trust documents and their information is collected on the application as the Associated Person(s). The beneficiary information must be collected on the 529 Account Supplement form. It is suggested that a successor owner be named on all 529 accounts using the 529 Account Supplement form. It is recommended that the client(s) photo ID is uploaded at account opening for our Customer Identification Program.		
Estate	Yes. DocuSign not available.	Death Certificate, Court Appointment of Executor (copy with visible seal), and Trusted Contact Person Authorization form	The Estate is the Entity. The Executor(s) are the Primary Associated Person and additional Associated Person(s). A tax ID for the Estate must be obtained from the IRS. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.		

401k	Yes. DocuSign not available.	Retirement Plan Certification and Trusted Contact Person Authorization form	Provide the Date of Formation/Original Effective Date of the plan in Section 1. The Plan is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s) and would sign accordingly. The Participant/FBO (if applicable) is the last Associated Person listed and would sign accordingly. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.	Estates, Qualified Plans, and Investment Clubs are ineligible for Margin.	
Roth 401k	Yes. DocuSign not available.	Retirement Plan Certification and Trusted Contact Person Authorization form	The account is opened under the 401k account type, and Roth 401k is designated in the Plan Name in Section 2 of the Application and Section 1 of the Retirement Plan Certification form. Provide the Date of Formation/Original Effective Date of the plan in Section 1. The Plan is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s) and would sign accordingly. The Participant/FBO (if applicable) is the last Associated Person listed and would sign accordingly. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.		
Pension/Profit Sharing	Yes. DocuSign not available.	Retirement Plan Certification and Trusted Contact Person Authorization form	Provide the Date of Formation/Original Effective Date of the plan in Section 1. The Plan is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s) and would sign accordingly. The Participant/FBO (if applicable) is the last Associated Person listed and would sign accordingly. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.		
Defined Benefit Plan	Yes. DocuSign not available.	Retirement Plan Certification and Trusted Contact Person Authorization form	Provide the Date of Formation/Original Effective Date of the plan in Section 1. The Plan is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s) and would sign accordingly.		
Cash Balance Plan	Yes. DocuSign not available.	Retirement Plan Certification and Trusted Contact Person Authorization form	The account is opened under the Defined Benefit Plan account type, and Cash Balance Plan (CBP) is designated in the Plan Name in Section 2 of the Application and Section 1 of the Retirement Plan Certification form. Provide the Date of Formation/Original Effective Date of the plan in Section 1. The Plan is the Entity. The Trustee(s) are the Primary Associated Person and additional Associated Person(s) and would sign accordingly. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.		
Investment Club	No. Paper Application Only	Choose the applicable type of agreement based on the structure of the club. A Trusted Contact Person Authorization form is required.	The Investment Club Agreement must verify the name and the relationship of the Account Owners(s)/Associated Person(s) to the Investment Club Agreement. It is recommended that the authorized person(s) photo ID is uploaded at account opening for our Customer Identification Program.		