



Authorized User Application

This Authorized User Application provides Trade-PMR, Inc. with fundamental information regarding the Authorized User and the Advisor Firm, and establishes the criteria for engagement between the **Authorized User, Advisor, and Trade-PMR, Inc.** By signing this application, the Advisor accepts full responsibility and all liability for the actions of the Authorized User. Please complete all questions and return to Trade-PMR, Inc. with the requested documentation.

1 Advisor Firm Information

Advisor Firm Name:

2 Authorized User Information

Type of User Request: New User Update User Terminate User

Name: Title: CRD Number (if applicable):

Business Phone: Cell Phone: Email:

Principal Place of Business (Legal Address, No P.O. Boxes):

City: State: Zip Code:

Mailing Address (If different from Principal Place of Business):

City: State: Zip Code:

This user will have "View Only" access unless one or more of the following feature(s) is selected: Account Creation Fee Billing Trading

This user is a contact for the following departments: None Accounts Trading Cashiering Compliance Transfers Advisor Fees

User Role (Select only one): Firm Principal Compliance Financial Advisor Operations Manager Operations User Analyst Securities Trader Customer Associate

List the Professional Designations & Affiliations for the Principals of the firm (check all that apply): AICPA Attorney CFA CFP ChFC CLU CPA CPA/PFS FPA Insurance Broker NAPFA Other:

3 Permissions and Security Questions

Permission Level:

<input type="checkbox"/> Region	Add Region(s):
	Remove Region(s):
<input type="checkbox"/> Branch	Add Branch(s):
	Remove Branch(s):
<input type="checkbox"/> Rep	Add Rep(s):
	Remove Rep(s):
<input type="checkbox"/> Account	Add Account(s):
	Remove Account(s):

Continued - Permissions and Security Questions

Security Questions:

Month and Day of Birth (MMDD):	City of Birth:
Mother's Maiden Name:	

4 Certification – *REQUIRED*

Authorized User Authorization		
By signing this agreement, I acknowledge that I have received, read, and agree to abide by the Terms of Use below.		
Signature of Authorized User	Title	Date

Advisor Firm Authorization		
I understand that I am fully responsible for all actions of the person(s) listed above, including for trades and fee deductions, and further agree that the indemnification provisions of my executed Advisor Services Agreement extends to those actions.		
Signature of Advisor Firm Principal/Officer/Authorized Person	Title	Date

TradePMR Terms of Use

Platform Access. TradePMR hereby grants to you ("User") a non-exclusive and non-transferable license to access and utilize its Platform. User hereby agrees that neither TradePMR nor any third-party licensor providing information to TradePMR shall have any liability for the accuracy or completeness of the information or software furnished through the Platform or for delays, interruptions or omissions therein, nor for any lost profits, direct, indirect, special or consequential damages, unless attributable to the acts and/or omissions of TradePMR or its agents.

Furnished Information. User agrees that all information furnished to TradePMR, previously or in the future, is truthful and accurate.

Terms of Use. User agrees that access to the Platform is for the sole purpose of conducting lawful business on behalf of RIA. User agrees that any credentials provided by TradePMR are unique to User and User shall not share any credentials with another person. User shall not use or permit anyone to use the information or software provided through the use of the Platform for any unlawful or unauthorized purpose. User shall not furnish such information or software to any person or firm for reuse or retransmission without prior written approval from TradePMR.

Unauthorized Access. User agrees to access the Platform only if he/she is authorized to access the Platform by RIA. If User has reason to believe that such authorization has been revoked by RIA or if User's relationship to RIA has been terminated, User agrees not to access the Platform.

Terminating Access. TradePMR reserves the right to terminate access to the Platform in its sole discretion, including but not limited to when: (i) TradePMR is unable to confirm User's identity, (ii) TradePMR has reason to believe User is engaging in unauthorized use of the platform, (iii) TradePMR receives notice that User is no longer employed by RIA, (iv) RIA revokes User's access.

Notice of Termination. RIA is responsible for revoking a User's access to the platform by notifying TradePMR in writing of its intention to revoke a User's access. RIA agrees that RIA shall be responsible for any damages resulting from RIA's failure to timely notify TradePMR of any updates to User's access or revocation of access.